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SEP 06 2002
PATENT & TRADEMARK OFFICE

PATENT APPLICATION 10/2/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihito ASAO, et al.

Docket No: Q66775

Appln. No.: 09/978,548

Group Art Unit: 2834

Confirmation No.: 5255

Examiner: Tran N. NGUYEN

Filed: October 18, 2001

For: ALTERNATOR AND METHOD OF MANUFACTURE THEREFOR

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

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One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from the European Patent Office in a counterpart application citing such documents and indicating the degree of relevance found by the European Patent Office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Date: September 6, 2002

Attorney Docket No.: Q66775

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

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Respectfully submitted,

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Date: September 6, 2002

Attorney Docket No.: Q66775

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	09/978,548
Confirmation Number	5255
Filing Date	October 18, 2001
First Named Inventor	Yoshihito ASAO
Art Unit	2834
Examiner Name	Tran N. NGUYEN
Attorney Docket Number	Q66775

OIPE SEP 06 2002 PATENT & TRADEMARK OFFICE JC34

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number: Number	Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,986,375	A	11-16-1999	UMEDA et al.
		US 5,994,813	A	11-30-1999	UMEDA et al.
		US 4,102,040	A	07-25-1978	RICH
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		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document: Country Code[3]	Number[4]	Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation[6]
		JP	11069685	A	03-09-1999	FUJI ELECTRIC CO LTD	abstract
		JP	63194543	A	08-11-1988	HITACHI LTD	abstract
		EP	0 881 742	A2	12-02-1998	DENSO CORPORATION	
		EP	0 881 742	A3	05-10-2000	DENSO CORPORATION	abstract
		DE	19633399	A1	02-26-1998	SIEMENS AG	no
		EP	0961386	A1	12-01-1999	DENSO CORPORATION	
		JP	11018378	A	01-22-1999	DENSO CORP	abstract
		JP	11164505	A	06-18-1999	DENSO CORP	abstract
		JP	61273153	A	12-03-1986	FUJITSU GENERAL LTD	abstract
		JP	60016164	A	01-26-1985	MITSUBISHI ELECTRIC CORP	abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Applicant's unique citation designation number (optional). [2]See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to indicate here if English language Translation is attached.